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To cite this article: T.R. Preston *et al* 2020 *JINST* **15** P11033

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# Design and performance characterisation of the HAPG von Hámos Spectrometer at the High Energy Density Instrument of the European XFEL

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**ABSTRACT:** The von Hámos spectrometer setup at the HED instrument of the European XFEL is described in detail. The spectrometer is designed to be operated primarily between 5 and 15 keV to complement the operating photon energy range of the HED instrument. Four Highly Annealed Pyrolytic Graphite (HAPG) crystals are characterised with thicknesses of 40  $\mu\text{m}$  or 100  $\mu\text{m}$  and radius-of-curvature 50 mm or 80 mm, in conjunction with either an ePix100 or Jungfrau detector. The achieved resolution with the 50 mm crystals, operated between 6.5 and 9 keV, matches that reported previously:  $\sim 8$  eV for a thickness of 40  $\mu\text{m}$ , whereas, with an 80 mm crystal of thickness 40  $\mu\text{m}$ , the resolution exceeds that expected. Namely, a resolution of 2 eV is demonstrated between 5–6 keV implying a resolving power of 2800. Therefore, we posit that flatter HAPG crystals, with their high reflectivity and improved resolving power, are a powerful tool for hard x-ray scattering and emission experiments allowing unprecedented measurements of collective scattering in a single shot.

**KEYWORDS:** Plasma diagnostics - interferometry, spectroscopy and imaging; Spectrometers; X-ray detectors

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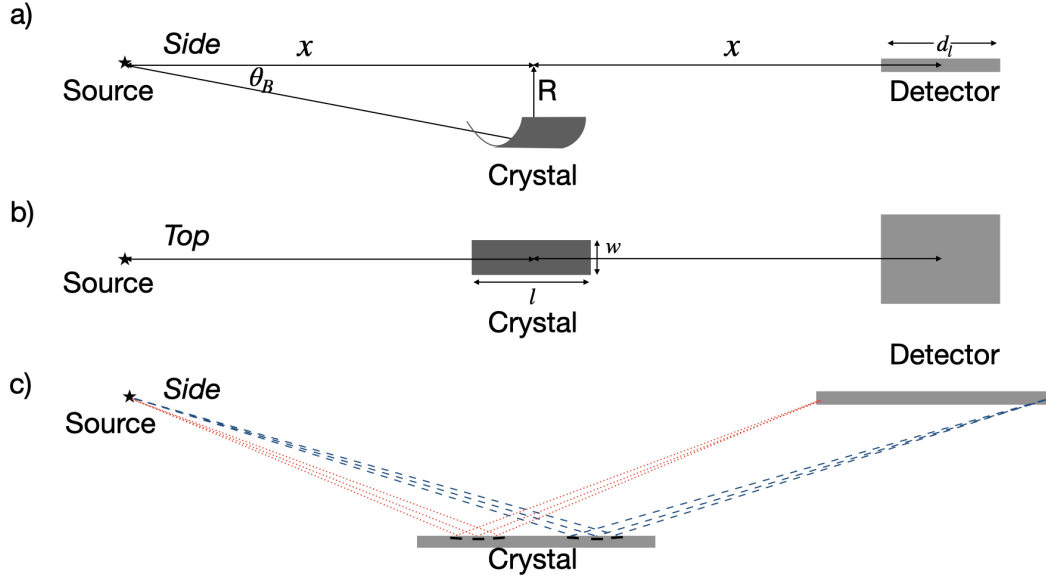
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## 1 Introduction

Spectrally resolved measurements of x-rays scattered from or emitted by a sample are an important technique for diagnosing high energy density matter. In particular, with an energy resolution of order a few eV, non-collective Compton scattering [1], collective plasmon scattering [2], and bound-free scattering provide information about the electronic sub-system, such as its temperature and density [3], internal energy levels [4], and the dielectric function [5]. Cylindrically bent crystals, exploiting mosaic focusing in von Hámos geometry [6], therefore offer a compelling choice for experiments that require high reflectivity and modest resolving power and have been previously characterised at length for variants of Highly Oriented Pyrolytic Graphite (HOPG) [7] and Highly Annealed Pyrolytic Graphite (HAPG) [8]. Alternative concepts without mosaic focusing include perfect, bent crystals of GaAs [9], and segmented Si crystals [10] operated at high Bragg angles. These crystals have been used to enable measurements of Thomson scattering [11], and thereby elucidate plasmon dispersions [2, 3, 12, 13] and further measurements of transport [5] and optical properties [14] of warm-dense matter, amongst others. Often, these measurements require a discernable signal, even for a single shot, in optical-laser driven plasmas which discounts the use of less-reflective single crystal spectrometers [12, 13]. Furthermore, in cases where a high signal-to-noise ratio is desired, due to plasma background emission or EMP readout artefacts on the detector, focusing spectrometers are preferred where the spectrum is concentrated into a line. To facilitate future experiments, a flexible and powerful spectrometer setup has been designed and implemented at the High Energy Density (HED) instrument of the European X-ray Free Electron Laser (XFEL). The instrument's remit is to combine ultrashort ( $\sim 10$  fs) high-brightness ( $> 1$  mJ) state-of-the-art FEL-generated hard x-rays (5 to 25 keV) with a suite of drivers, for example the Helmholtz International Beamline for Extreme Fields (HIBEF) high-intensity and high-energy lasers, in order to attain extreme states of matter. Future developments at the HED instrument will include self-seeding which should reduce the x-ray bandwidth down from  $\sim 20$  eV for SASE (Self-Amplified Spontaneous Emission) to  $\sim 1$  eV for self-seeded emission enabling high-quality spectrum measurements in a single pulse, without recourse to monochromators which introduce transmission losses.

## 2 Conceptual design



**Figure 1.** Schematic setup of a spectrometer in von Hámos geometry viewed from the side a) and from the top b). The crystal is located at a linear distance  $x$  from the source, as is the detector from the crystal. The cylindrically bent crystal is offset from the source-detector ( $2x$ ) line by its radius-of-curvature ( $R$ ) making a Bragg angle of  $\theta_B$  to the source-detector line resulting in focussing in the non-dispersive axis. The crystal has a width  $w$  and a length along its cylinder axis of  $l$ . The detector chip has length along the dispersive direction of  $d_l$ . Also shown in c) is an example of mosaic focussing (not to scale) viewed from the side: red dotted is the lowest energy recorded on the detector and blue dashed is the highest energy.

The spectrometer design must fulfill many competing criteria: it should flexibly cover a large range of photon energies, corresponding to the HED beam parameters, and coincide well with space considerations of other diagnostics, without restricting their line-of-sight to the target, or reducing its collection efficiency. An excellent and proven design [8] is based on the use of a cylindrically bent mosaic crystal in von Hámos geometry [6] with a 2D area detector operating in direct x-ray photon detection and with its chip oriented along the central cylinder axis.

A mosaic crystal comprises many small perfect crystallite domains of micrometre and sub-micrometre size [7, 15] which are oriented almost parallel to each other. The mosaic crystals are made from low- $Z$  materials which have a low photoabsorption cross-section, meaning that rays that don't fulfill the Bragg condition at the surface can penetrate and be reflected from deeper layers allowing an increase of reflectivity with crystal thickness, with the downside of a possible increase in depth broadening depending on Bragg angle. Here we choose crystals made from different types of artificial graphite: either HOPG or HAPG with a  $2d$  spacing for the (002) reflection of  $6.708 \text{ \AA}$ . HOPG crystals have a mosaic spread [7] of order  $0.4^\circ$  for thicknesses of  $100 \mu\text{m}$ , whereas HAPG crystals provide a smaller [8] mosaic spread ( $\sim 0.14^\circ$ ) but with comparably higher energy resolution, offset by a relatively lower reflectivity compared with HOPG. The integrated reflectivity

**Table 1.** Design parameters for the spectrometer with (002) reflection for source-to-crystal distance ( $x$ ), crystal radius-of-curvature ( $R$ ), central Bragg angle ( $\theta_B$ ), central reflected photon energy ( $E_C$ ), spectral window for both ePix ( $\Delta E_E$ ) and Jungfrau ( $\Delta E_J$ ) detectors with their long axis aligned with the dispersive direction, and their pixel-normalised dispersions ( $dE/dx$ ) for the central Bragg angle.

$x$ (mm)	$R$ (mm)	$\theta_B$ ( $^\circ$ )	$E_C$ (keV)	$\Delta E_E$ (eV)	$\Delta E_J$ (eV)	$dE_E/dx$ (eV/pixel)	$dE_J/dx$ (eV/pixel)
200	80	22	5.0	410	820	0.54	0.80
400	80	11	9.4	440	870	0.57	0.85
200	50	14	7.6	690	1380	0.90	1.35
400	50	7	14.9	700	1410	0.92	1.38

(product of peak reflectivity and rocking curve width) for a mosaic HAPG crystal is nevertheless large compared with perfect crystals and is  $\sim 1\text{--}2$  mrad [8].

For successful mosaic focusing without blurring, the source-to-crystal and crystal-to-detector distances should be equal. This, combined with the cylindrical geometry, results in one-to-one focussing in the non-dispersive direction of the source onto the detector plane, as shown in figure 1, and subsequent resolving powers [8] of order  $E/\Delta E \sim 500\text{--}2000$ , enabling a range of uses from emission spectroscopy to inelastic x-ray scattering. The resolving power achieved depends on the source-size, photon energy and Bragg angle  $\theta$ , which determines the depth broadening. In order to minimise depth broadening, which varies as  $t/\sin(\theta)$ , with  $t$  the crystal thickness and increases with shallower angles, the Bragg angle of the reflection should be kept above  $\sim 10^\circ$ . Here the path length of a diffracted ray through the crystal remains less than the attenuation length. To coincide with space considerations in the HED interaction chamber (IC1), the source-to-crystal distance ( $x$ ) is constrained to be between 200 and 400 mm, with a resulting crystal radius-of-curvature ( $R$ )  $\sim 50\text{--}80$  mm. Two detectors will be considered here, the ePix100 [16] and Jungfrau [17], and a discussion of their parameters will be elaborated later. The maximum and minimum achievable design parameters, within this range of source-to-crystal distances, are summarised in table 1 for HAPG crystals operated in first order reflection. In general the dispersion for this setup is between 10.7 and 18.3 eV/mm on the detector plane.

The dispersion in this geometry is very close to linear and may be expressed simply in terms of the  $2d$  spacing, radius-of-curvature  $R$ , diffraction order  $n$  (in our case 1), Planck's constant  $h$ , speed of light  $c$ , and Bragg angle  $\theta$ :

$$\frac{dE}{dx} = hc \frac{n \cos \theta}{2d \cdot 2R}, \quad (2.1)$$

for displacements  $dx$  along the detector plane. Considering small deviations  $\delta\theta$  from a central Bragg angle  $\theta_B$ , the deviation from linearity for  $1^\circ$  changes in Bragg angle (typical for this setup) is 0.4%.

Furthermore, the effect of mosaic focusing must be considered. In figure 1c, we show a not-to-scale model of mosaic focusing. In general, for a perfect crystal, the desired crystal reflection plane has its normal aligned uniformly throughout the crystal and aligned at some angle to the crystal's surface, depending on its cut, allowing incoming rays to reflect at the Bragg angle to the reflection's normal. However, for a mosaic crystal, the crystal is formed of crystallites with some

mosaic spread, or mosaicity as described above, meaning that the normal vectors of the crystallites are inclined by some range of angle  $\omega$  to the average reflection normal. Consequently, rays that differ from the central Bragg angle by this mosaic spread, are also reflected from the crystal and are focused onto the detector. This has the effect of increasing the reflectivity of the crystal as a larger area reflects a monochromatic beam onto the detector. The length of the crystal along the dispersive axis that would reflect a monochromatic beam at a Bragg angle  $\theta$  with mosaicity  $\omega$  is:

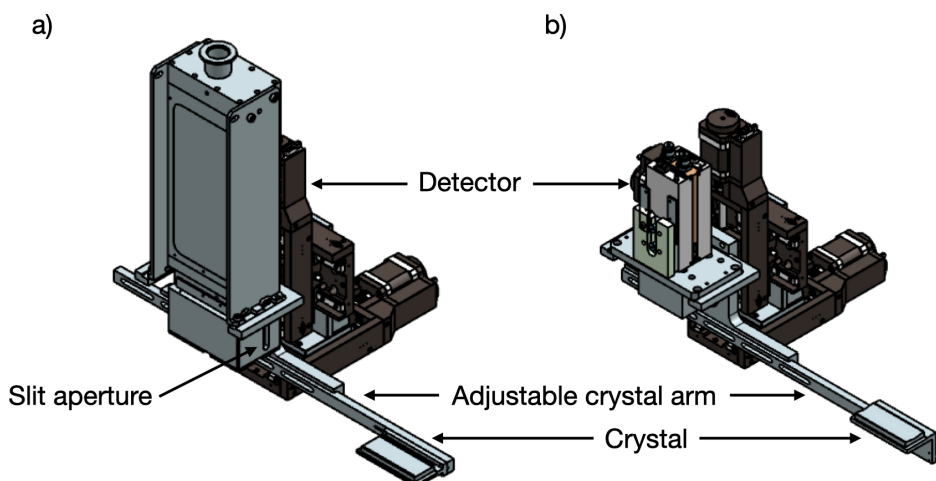
$$\Delta x = \frac{R}{\sin^2(\theta)} 2\omega.$$

For an extremal configuration with an  $R = 50$  mm HAPG crystal with mosaicity  $\omega = 0.14^\circ$  and at a central Bragg angle of  $7^\circ$  (photon energy  $E_C = 14.9$  keV), the reflecting length is  $\Delta x = 16.5$  mm. Even in this configuration, the crystal is large enough to fully reflect and focus all rays onto the detector, although this particular configuration would suffer more from depth broadening. A Jungfrau detector has a rectangular chip with a long edge of length  $\sim 80$  mm and therefore only captures rays that are reflected from the central 40 mm of the 80 mm long crystal. The accepted range of Bragg angles (due to the long source-crystal distance) differ by  $\sim \pm 0.2^\circ$  and consequently approximately 11.8 mm of the crystal at each end is not used. This also means that the crystal does not need to be aligned along the cylinder axis to better than  $\sim 10$  mm for all HAPG configurations. Note that for a HOPG crystal the mosaicity is approximately three times larger and therefore the area used for mosaic focusing is also three times larger. Using a HOPG crystal could lead to edge effects, whereby at the extremities of the spectral window the reflectivity might drop because the reflecting area is cut off at the end of the crystal. This could slightly reduce the usable spectral range if the relative reflectivity of spectral components at the extremities matter, and instead it may be preferable to use a higher order reflection instead. Edge effects are not expected to be a limitation for HAPG in this geometry: an extremal  $\Delta x$  of 40 mm would require a photon energy of  $\sim 24$  keV in first order reflection.

### 3 Technical design

The spectrometer incorporates many improvements from previous designs [18] and is designed to be interchangeable with a range of different cylindrically-curved crystals. Two radii-of-curvature — 50 mm and 80 mm — are chosen, whilst meeting the constraints of a 200–400 mm source-crystal distance, to cover as wide a range of photon energies as possible: from 5–15 keV as detailed in table 1. Furthermore, in the range 7.6–9.4 keV both crystals may be used interchangeably depending on desired resolution, spectral window, distance to the source, collection solid-angle and consequent obstruction of other solid angles around the interaction point. The crystal arm has a length of 500 mm and is light-weight and manually adjustable before the experiment. The entire setup around the crystal is designed to be as unobtrusive as possible and to cover a minimal solid angle around the crystal, in order to allow its use with other diagnostics at close range. In other words, the crystal is suspended free from a protective housing and a PMMA shield can be added for plasma experiments with an expected debris spread. The crystal's width is reduced to 30 mm to minimise k-vector blurring and focal aberrations without detriment to its collection efficiency [9].

The detector mounting can be exchanged to accept either an ePix100 detector, or Jungfrau detector (in an airbox housing for use in vacuum) and incorporates a light-tight cap over the sensor



**Figure 2.** Drawing of Spectrometer design shown in two configurations with key components labelled. In a) a Jungfrau detector and  $R = 80$  mm crystal are mounted. In b) an ePix100 detector and  $R = 50$  mm crystal are mounted. The crystal arm has length 500 mm and is manually adjustable. Note that the  $R = 50$  mm crystal in b) is mounted to a 30 mm high bracket which allows the same setup to be used interchangeably for different crystal  $R$ . The bracket holding the detector is designed such that it can be used with either a Jungfrau detector, or ePix100 detector with an adapter plate. The whole setup is motorised in three axes.

**Table 2.** Comparison of detectors.

Name	Pixel size ( $\mu\text{m}$ )	No. of pixels (adim.)	Detection area ( $\text{mm}^2$ )	Noise (eV)	Frame rate (Hz)	Dynamic Range (photons per pixel)
ePix100	50	$704 \times 768$	$35 \times 38$	$< 280$	120	$10^2$ 8 keV
Jungfrau	75	$512 \times 1024$	$38.55 \times 77.25$	$< 450$	2400	$10^4$ 12 keV

with a wide slit aperture to accept rays reflected from the crystal, attenuated with black kapton to block optical light (other attenuating foils, depending on background or source brightness, are available). A small light-shield (typically a thick Cu foil) can be attached to the detector holder to block direct light from the source onto the detector sensor. Some important parameters for these two detectors are compared in table 2, but both detectors ensure a dispersion  $\sim 1$  eV/pixel depending on the exact setup. Note that the Jungfrau detector can be operated at  $> 100$  kHz in burst mode and has its dynamic range spread over three gain stages. The entire spectrometer, detector, and crystal arm configuration is motorised in three axes allowing the setup to be fine-aligned in vacuum using x-rays. Any error in alignment of the crystal-to-detector distance may be compensated thus. This entire setup is made as light-weight as possible from Al parts, so that it can be mounted onto a curved-rail system, centred on the interaction point, allowing changes in scattering angle without breaking vacuum. A schematic of the design is shown in figure 2.

For each radius-of-curvature, two different HAPG coating thicknesses,  $40 \mu\text{m}$  and  $100 \mu\text{m}$ , deposited onto optical-quality glass substrates through contact bonding, are chosen in order to



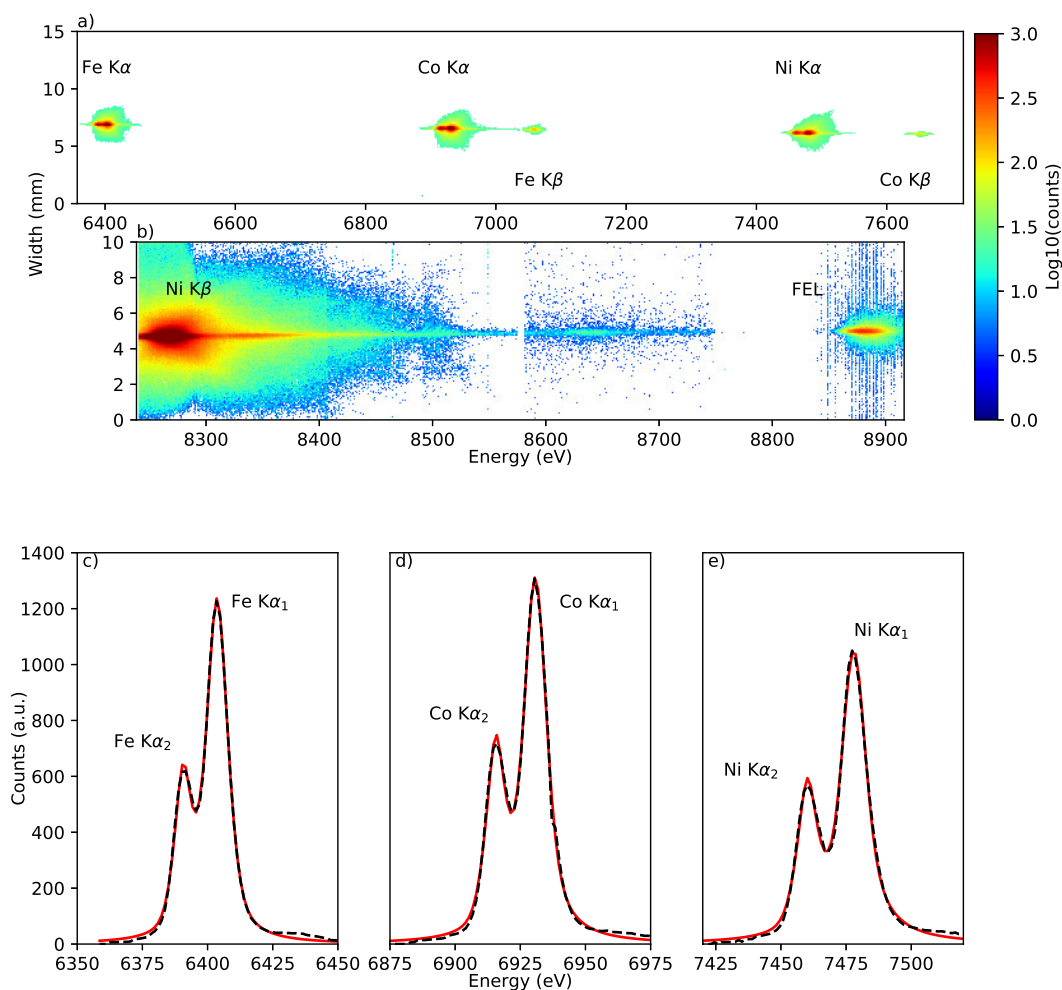
further give the optimal trade-off in reflectivity and resolution. Thicker coatings, at thicknesses less than the absorption depth of the x-rays, have a higher reflectivity which is limited by photo-absorption losses and Bragg extinction [8]. However, whilst they are more reflective, they suffer from inferior resolution due to an increase in depth broadening, and are not the best choice if one strives for the highest possible resolution.

We can also consider the integrated efficiency for these two HAPG coatings which is the angular size in the non-dispersive direction (in rad) multiplied by the integrated reflectivity (also in rad) in the dispersive direction to give a solid angle  $\Omega$ . First, the angular size of the 30 mm wide crystal in the non-dispersive direction is 150 mrad for  $x = 200$  mm and 75 mrad for  $x = 400$  mm. At 8.05 keV, for a 40  $\mu\text{m}$  thick crystal [8], the integrated efficiency  $\Omega$  is  $1.0\text{--}2.0 \times 10^{-4}$  sr over this range of source-detector distances. For the thicker 100  $\mu\text{m}$  crystal,  $\Omega = 1.7\text{--}3.4 \times 10^{-4}$  sr. This enables, notwithstanding the detector efficiency, the calculation of expected photon numbers for an experimental setup. Typically,  $8.0\text{--}27.1 \times 10^{-6}$  of all photons emitted isotropically into  $4\pi$  will be collected by the crystal.

#### 4 Spectrometer calibration measurements

Four HAPG crystals were commissioned in two separate experimental campaigns at the High Energy Density (HED) instrument of the European XFEL [19, 20]. In the first campaign, the two 50 mm crystals of different thicknesses were calibrated simultaneously using two independent spectrometer configurations. The XFEL beam was operated in SASE single-bunch mode at an FEL energy of  $8882 \pm 10$  eV, with a repetition rate of 10 Hz. The energy delivered was  $1.10 \pm 0.14$  mJ, or  $7.7 \pm 1.0 \times 10^{11}$  photons-per-pulse measured upstream of the target using an X-ray Gas Monitor (XGM) [21, 22]. The x-ray pulses were weakly-focussed using beryllium compound refractive lenses (CRLs) 742 m upstream of the interaction point to a spot of size  $\sim 100 \mu\text{m}$  giving an emitting source of volume  $\sim 5 \times 10^4 \mu\text{m}^3$ . Emission from sandwich targets comprising layers of 2  $\mu\text{m}$  thick iron, cobalt, and nickel were recorded on two spectrometers and used to align and calibrate them along the dispersive axis. The recorded spectra are shown in figure 3. The forward-scattering spectrometer was set to a near zero forward-scattering angle relative to the FEL beam, with its crystal just above the beam axis, and employed a Jungfrau detector and HAPG crystal with a thickness of 40  $\mu\text{m}$ . Its spectrum is shown in figure 3a averaged over 184 shots and was centred at an energy of 7000 eV with a spectral window of  $\Delta E = 1359$  eV. The backward-scattering spectrometer was set to a near backward-scattering angle relative to the FEL beam, again with its crystal just above the beam axis, and employed an ePix100 detector and HAPG crystal with a thickness of 100  $\mu\text{m}$ . Its spectrum, for the sandwich target, is shown in figure 3b averaged over 100 shots and was centred at an energy of  $\sim 8575$  eV with a spectral window of  $\Delta E = 677$  eV. Both images in figure 3 were taken contemporaneously. Additionally, the backward-scattering spectrometer used a 2  $\mu\text{m}$  thick copper foil for calibration as described below.

Since in this configuration the two spectrometers observe different spectral windows they were calibrated independently. The forward spectrometer was calibrated with the five lines of Fe  $K\alpha$ , Fe  $K\beta$ , Co  $K\alpha$ , Co  $K\beta$ , and Ni  $K\alpha$ . The backward spectrometer was calibrated using the Ni  $K\beta$  and Cu  $K\alpha$  line (not shown) by tuning the FEL energy up to 9000 eV, above the Cu K-edge. The dispersion was linear as expected and found to be 1.32 eV/pixel for the forward spectrometer and 0.88 eV/pixel for the backward matching designed values and are shown in black and grey in figure 5.



**Figure 3.** Spectra taken with two spectrometers employing  $R = 50$  mm HAPG crystals. Above a) shows the forward-scattering spectrometer with emission lines from Fe, Co, and Ni. In b) we show the backward-scattering spectrometer with Ni K $\beta$  and the FEL labelled. The colour scale is logarithmic and corresponds to both figures — white corresponds to zero counts. Below: lineouts (black dashed) from the image shown in a). Left c) shows the Fe K $\alpha$  doublet fitted (in red) with the sum of two Pseudovoigt profiles. Similarly in d) (centre) and in e) (right) the Co K $\alpha$  and Ni K $\alpha$  doublets are shown fitted (in red).

Another important metric is the spectrometer’s instrument function. This arises as the combination of various sources of additional broadening of each photon energy due to a combination of several effects such as source-size, pixel-size, charge sharing effects, focal aberrations, mosaicity, and depth broadening from the crystal thickness. The forward spectrometer with the  $40\ \mu\text{m}$  thick crystal was found to have an excellent instrument function as shown in figure 3c–e. All K $\alpha$  doublets are resolved and are fitted with the sum of two Pseudovoigt profiles (following eqs. 6-10 of ref. [23]). Their FWHM are summarised in table 3 with measured values [24] in parentheses and imply an instrumental broadening of  $\sim 5 - 8$  eV, in perfect agreement with previously published

**Table 3.** Fitted FWHM (tabulated/reference values in parentheses [24])

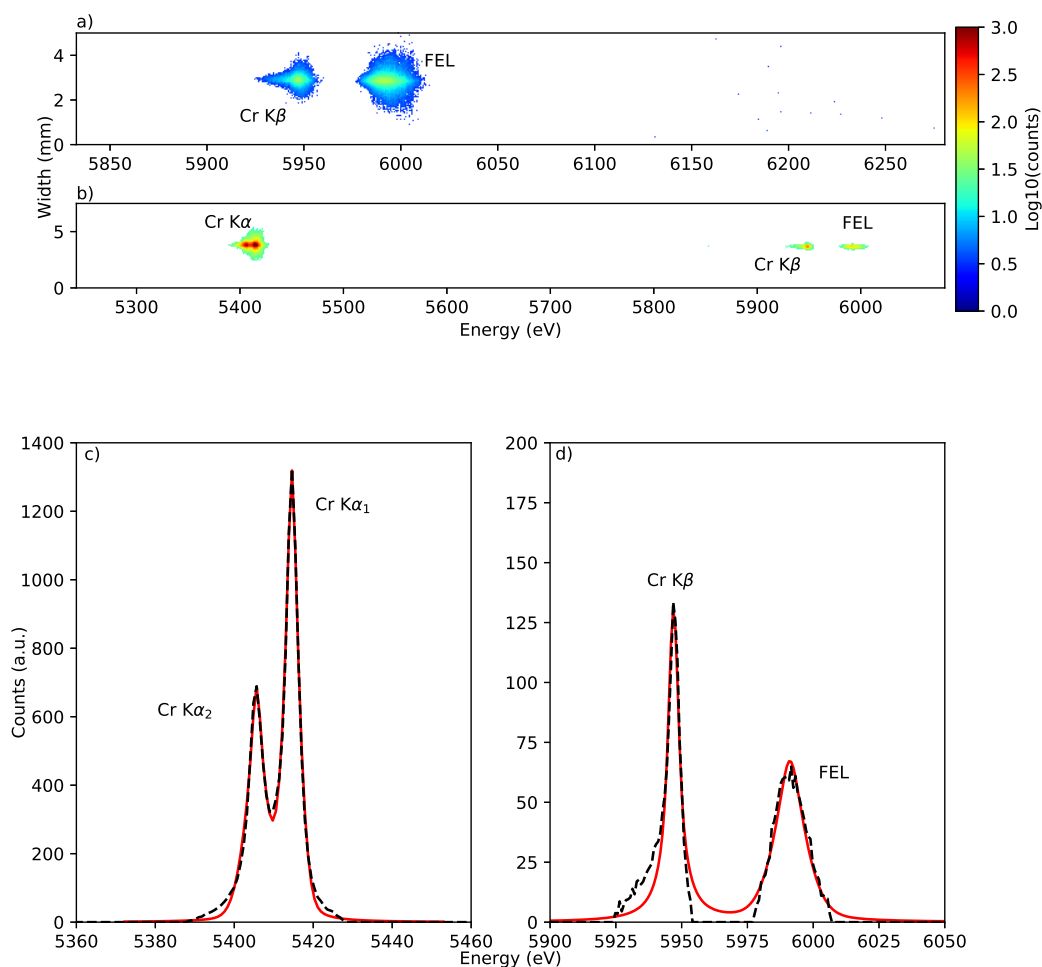
	Energy $K\alpha_1$ (eV)	FWHM $K\alpha_1$ (eV)	FWHM $K\alpha_2$ (eV)
Fe	6404	9.89 (2.55)	7.70 (3.14)
Co	6930	10.62 (2.33)	8.63 (3.18)
Ni	7478	11.72 (2.24)	8.98 (3.41)

values [8]. Similarly, the backward spectrometer using the thicker 100  $\mu\text{m}$  HAPG crystal shows a Ni  $K\beta$  line with FWHM of 22.8 eV (measured value [24] 5.40 eV) and an elastically scattered FEL with a FWHM of 25.0 eV, giving an instrumental broadening of  $\sim 17$  eV. This matches previously published values [8] measured using a slit  $\sim 100 - 200 \mu\text{m}$ , thus confirming our larger emitting volume and corresponding increase in source broadening. Furthermore, the ratio of instrument functions is as expected for the increase in depth broadening with a 2.5 times thicker crystal.

The two 80 mm crystals of different thicknesses were calibrated in a second experiment at the HED instrument. This time the pulse train was also operated in SASE mode at an FEL energy of  $5992 \pm 10$  eV, again with one bunch-per-train at 10 Hz. The pulses were then attenuated with solid attenuators to  $\sim 1\%$  resulting in  $23 \pm 5 \mu\text{J}$  or  $2.4 \pm 0.5 \times 10^{10}$  photons-per-pulse measured upstream of the target using an X-ray Gas Monitor (XGM) [21, 22], before the final focussing optics. The x-ray pulses were focussed using beryllium compound refractive lenses (CRLs) 9 m upstream of the interaction point to a spot of size  $\sim 10 \mu\text{m}$  giving an emitting source of volume  $\sim 400 \mu\text{m}^3$ . Characteristic K-shell emission from 5  $\mu\text{m}$  thick chromium foils were recorded on two spectrometers, as well as elastically-scattered incident FEL photons, and used to align and calibrate them along the dispersive axis. The recorded spectra are shown in figure 4. The forward-scattering spectrometer was set to an angle of  $\sim 18^\circ$  relative to the FEL beam and employed an ePix100 detector and HAPG crystal with a thickness of 100  $\mu\text{m}$ . Its spectrum is shown in figure 4a and was centred at an energy of 6050 eV with a spectral window of  $\Delta E = 448$  eV. The backward-scattering spectrometer was set to an angle of  $\sim 155^\circ$  relative to the FEL beam and employed a Jungfrau detector and HAPG crystal with a thickness of 40  $\mu\text{m}$ . Its spectrum is shown in figure 4b and was centred at an energy of 5650 eV with a spectral window of  $\Delta E = 839$  eV.

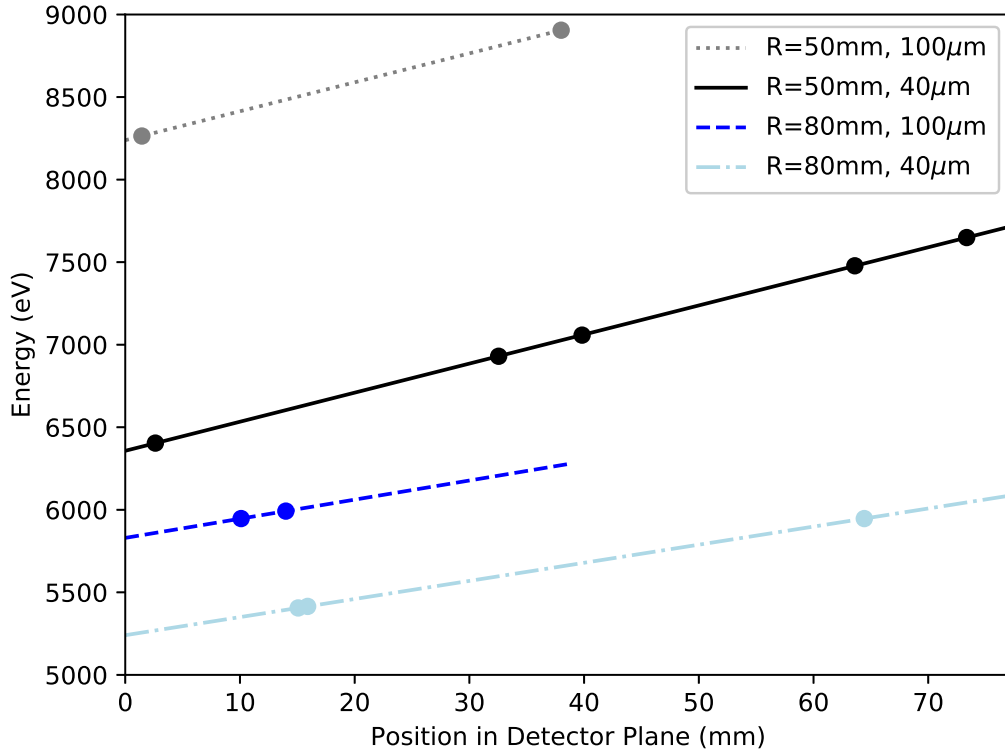
The two sets of images were taken simultaneously from an average of 1016 shots and allow both detectors to be calibrated. First, the backward spectrometer was calibrated using the Cr  $K\alpha$  and Cr  $K\beta$  emission lines. This yields the FEL energy from the recorded elastically-scattered photons on the backward spectrometer, which, with the Cr  $K\beta$  line, allowed the forward spectrometer to be calibrated. The dispersion was found to be 0.82 eV/pixel for the forward spectrometer and 0.58 eV/pixel for the backward matching designed values and are shown in blue and light-blue in figure 5.

The backward spectrometer with the 40  $\mu\text{m}$  thick crystal was found to have an excellent instrument function, due to the combination of a large bending radius and thin HAPG crystal, as shown in figure 4c-d. The Cr  $K\alpha$  lines were well-separated and found to be well-approximated by the sum of two Pseudovoigt profiles, as described above, with Full-Width-Half-Maximum (FWHM) of  $K\alpha_1 = 3.89$  eV and  $K\alpha_2 = 4.93$  eV. This compares well to their measured values [24] of  $K\alpha_1 = 1.88$  eV and  $K\alpha_2 = 2.52$  eV and implies an instrument function of  $\sim 2$  eV, assuming the broadening contributions combine linearly. The Cr  $K\beta$  line for this spectrometer had a width Cr  $K\beta = 5.21$  eV (measured value 2.53 eV [24]), also in good agreement. The forward spectrometer



**Figure 4.** Spectra taken with two spectrometers employing  $R = 80\text{mm}$  HAPG crystals. Above a) shows the forward-scattering spectrometer with emission from Cr  $K\beta$  and the FEL labelled. In b) we show the backward-scattering spectrometer with additionally Cr  $K\alpha$  labelled. The colour scale is logarithmic and corresponds to both figures. Below: lineouts (black dashed) from the image shown in b). Left c) shows the Cr  $K\alpha$  doublet fitted (in red) with the sum of two Pseudovoigt profiles. In d) right the Cr  $K\beta$  and neighbouring elastically-scattered FEL profile are shown fitted (in red) to the sum of two Pseudovoigt profiles. The FEL profile is found to be approximately Gaussian as expected. The drop between the two line profiles in d) is due to the noise treatment of the detector: counts below one standard deviation of the noise are set to zero. Asymmetry is visible in the Cr  $K\beta$  originating from a  $K\beta'$  component due to different configurations of spectator electrons in the d-shell [25, 26].

using the thicker  $100\ \mu\text{m}$  HAPG crystal shows a Cr  $K\beta$  with a FWHM of  $7.56\ \text{eV}$ , implying a  $\sim 5\ \text{eV}$  broadening. Note that the ratio of the instrument functions is as expected for the increase in depth broadening with a 2.5 times thicker crystal, given that the crystal line-of-sight thicknesses (at  $\theta_B \sim 18^\circ$ ) are less than the attenuation length ( $400\ \mu\text{m}$  at  $6\ \text{keV}$ ). The elastically-scattered SASE spectrum of the FEL had a measured FWHM of  $12.8\ \text{eV}$  on the backward spectrometer and  $15.4\ \text{eV}$  on the forward spectrometer. The measured parameters for all four crystals are summarised in table 4.

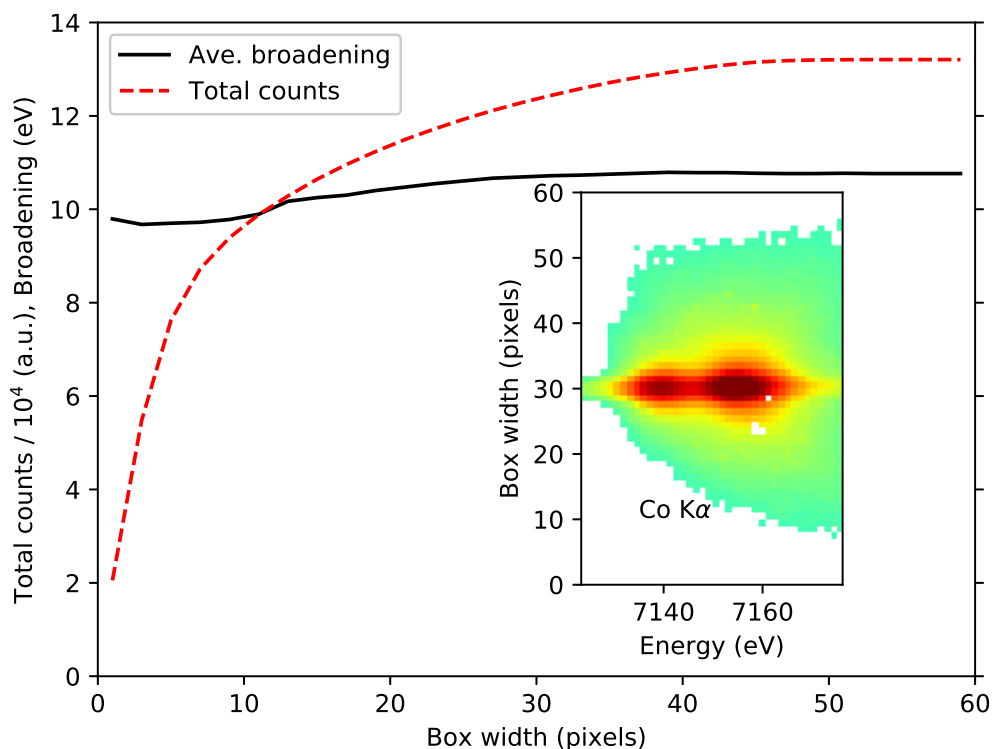


**Figure 5.** Dispersion of all spectrometer configurations as a function of position along the detector plane labelled according to crystal radius-of-curvature and thickness. Note, the dispersion steepens at higher photon energies due to the correspondingly smaller Bragg angle and radius-of-curvature of eq. (2.1). Shown in blue dashed is the forward-scattering spectrometer of figure 4a and light-blue dot-dashed is the backward-scattering spectrometer of figure 4b. Black is the forward-scattering spectrometer of figure 3a and grey dotted is the backward-scattering spectrometer of figure 3b. Circular points are the lines used to fit the dispersion as described in the text.

**Table 4.** Measured parameters for all four crystals. Radius-of-curvature ( $R$ ), thickness ( $t$ ), central energy ( $E_C$ ), spectral window ( $\Delta E$ ), dispersion ( $dE/dx$ ), instrumental broadening (IF), and Resolving power ( $RP = E_C/IF$ ).

$R$ (mm)	$t$ ( $\mu\text{m}$ )	$E_C$ (eV)	$\Delta E$ (eV)	$dE/dx$ (eV/pixel)	IF (eV)	RP (adim.)
80	40	5650	839	0.58	2	$2.8 \times 10^3$
80	100	6050	448	0.82	5	$1.2 \times 10^3$
50	40	7000	1359	1.33	5–8	$0.9\text{--}1.4 \times 10^3$
50	100	8575	677	0.88	17	$0.5 \times 10^3$

As mentioned earlier there is often a trade off in crystal-to-detector distance, radius-of-curvature, and spectral window, and in general, due to the smaller Bragg angle on the crystal, larger distances correspond to higher photon energies. As an example of this, in the experiment with the  $R = 50$  mm crystals, the forward spectrometer of figure 3a was operated at a shorter than de-



**Figure 6.** Fitted average broadening (black) of the two lines in the Co  $K\alpha$  doublet and total integrated counts (red dashed) as the box width along the non-dispersive direction is varied from 1 to 60 (full height of inset). The Co  $K\alpha$  doublet is shown in the inset with the same logarithmic colour-scale as figure 3a). The optimum box width is found to be less than three pixels and corresponds to that shown in figure 3d.

signed crystal-to-detector distance of 174 mm in order to move the spectral window lower in energy to include the Fe  $K\alpha$ . A Jungfrau detector was used to maximise the spectral window. The desired central photon energy of 7000 eV could have been accommodated with the  $R = 80$  mm crystal at a distance within the designed envelope (200–400 mm), but then  $\Delta E$  would have been  $\sim 800$  eV as seen in the experiment of figure 4b — too small for this experiment which required  $\Delta E > 1000$  eV in order to observe emission from Fe, Co, and Ni without changes in spectrometer setup.

In calculating the instrument function, a box of width three pixels was taken around the central line focus of the cylindrically bent crystal. The effect of bounding box size is investigated in figure 6 on the total counts in the box (related to the total number of photons) and the average of the fitted widths of the two line profiles in the Co  $K\alpha$  doublet of figure 3d. The selected region-of-interest (ROI) of the detector is shown as an inset of figure 6. As expected, increasing the box size includes more of the photons which are scattered outside of the central line focus due to the crystal’s mosaicity. This scattering also slightly increases the broadening of the line as depending on the orientation of the mosaic crystallites the photons may be scattered both “up” in energy as well as “down”, relative to the dispersion along the central line focus. Since the width of the crystal is reduced to 30 mm, we do not observe a pronounced X-shape aberration [7, 8]. Nevertheless, an

optimum is found here for a box of size three pixels since this box captures 42% of the total photons recorded on the detector for this line doublet with no increase in broadening from aberrations.

## 5 Conclusions

We have successfully designed, built, and demonstrated the spectroscopy capabilities of the HED instrument using highly-reflective cylindrically-bent HAPG crystals operated in von Hámos geometry. The spectrometer fulfills all the requirements of the HED chamber, has a slim design, and is applicable to a range of scientific requirements in terms of resolution, efficiency and spectral window. The spectrometer performs as designed over the energy range of 5–9 keV and furthermore the instrument function and resolving power for a configuration using a crystal with radius-of-curvature of 50 mm is as previously reported [8]. Using crystals with a larger radius-of-curvature (80 mm) gives a corresponding improvement in instrument function down to 2 eV at 5–6 keV. This would allow features to be resolved in the future with an unprecedented precision using HAPG crystals, especially when operated in conjunction with an x-ray beam that has undergone self-seeding to reduce its bandwidth down to  $\sim 1$  eV, or indeed used in conjunction with a monochromator (in preparation).

## Acknowledgments

We acknowledge the European XFEL in Schenefeld, Germany, for provision of X-ray free-electron laser beamtime at the Scientific Instrument HED (High Energy Density Science) and would like to thank the staff for their assistance. The authors are grateful to the HIBEF user consortium for the provision of instrumentation and staff that enabled this experiment. This work was performed under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory under Contract DE-AC52-07NA27344. R.W.F. acknowledges support for this work from the U.S. Department of Energy, Office of Science, Office of Fusion Energy Sciences, award DE-SC0018298. O.S.H. and O.K. acknowledge support from the Oxford Centre for High Energy Density Science (OxCHEDS). A.K.S. is supported by the Helmholtz Association under VH-NG-1141. The original datasets can be found here: 10.22003/XFEL.EU-DATA-002180-00; 10.22003/XFEL.EU-DATA-002353-00.

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